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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 AUG 24 PM 12:44

Applicant(s): Uttam Ghoshal

Title: THERMOELECTRIC DEVICES

Application No.: 10/756,603

Filed: January 13, 2004

RECEIVED

Patent No.: n/a

Granted: n/a

AUG 30 2004

Examiner: Unknown

Group Art Unit: 1753

OFFICE OF PETITIONS

Atty. Docket No.: 089-0005

Confirmation No.: 1623

August 18, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST TO EXCUSE SMALL ENTITY ERROR (37 CFR § 1.28(c))

Small entity status was previously established in good faith in the above-identified application.
However:

- ☒ it was later discovered that such status as a small entity was established in error.
- ☐ through error, the Office was not notified of a loss of entitlement to small entity status as required under 37 CFR § 1.27(g)(2).

The deficiency payment and itemization required for the error to be excused under 37 CFR § 1.28(c) are submitted herewith and calculated below.

Pursuant to 37 CFR § 1.28(d), the deficiency payment submitted herewith is to be treated as a notice of loss of entitlement to small entity status as required by 37 CFR § 1.27(g)(2).

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595.00 OP

ITEMIZATION AND CALCULATION OF DEFICIENCY PAYMENT

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Type of Fee Erroneously Paid as a Small Entity	Date Paid	Fee Paid	Current Fee	Deficiency
Basic Statutory Filing Fee	13-Jan-04	\$385.00	\$770.00	\$385.00
Claims in Excess of 20	13-Jan-04	\$81.00	\$162.00	\$81.00
Independent Claims in Excess of 3	13-Jan-04	\$129.00	\$258.00	\$129.00
				\$0.00
Total Deficiency:				\$595.00

FEE AUTHORIZATION

- ☒ A check in the amount of the Total Deficiency (\$595.00) is enclosed.
- ☐ Please charge the Total Fee shown above to Deposit Account n/a.
- ☒ If any additional fees should be due, the undersigned hereby authorizes the Commissioner to charge any such fees to Deposit Account No. 50-0631.

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the US Postal Service with sufficient postage as first class mail, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ facsimile transmitted to the US Patent and Trademark Office.

David W. O'Brien

Date

18-Aug-04

Respectfully submitted,

David W. O'Brien, Reg. No. 40,107
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EXPRESS MAIL LABEL: _____